

TRANSMITTAL

1642 P#D

PTO/SB/21 (08-00)

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09/765,060

January 17, 2001

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Application Number

Filing Date

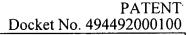
FORM					DECEMEN	
1 OINW			First Named Inventor	Baofa YU	NECEIVED	
		Group Art Unit	1642	DEC 0 4 2002		
(to be used for all correspondence after initial		tial filing)	Examiner Name	K. Canella		
Total Number Of Pages In This Submission		9	Attorney Docket No.	494492000100	TECH CENTER 1600/298	
ENCLOSURES (check all that apply)						
Fee Transmittal Form			Assignment Papers (for an Application)		After Allowance Communication to Group	
Fee Attached		Dra	Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Pet	Petition		Proprietary Information	
Affidavits/declarations			ition to Convert to a visional Application		Status Letter	
Extension of Time Request			Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below): Return postcard			
,		Terminal Disclaimer				
Express Abandonment Request		Request for Refund				
Information Disclosure Statement; PTO form 1449; and 113 references		CD, Number of CD(s)				
Certified Copy of Priority Document(s)		Remarks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53			****			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Firm			3			
or Morrison & Foerster L Individual Name 3811 Valley Centre D			LLP Drive, Suite 500; San Diego, CA 92130-2332			
Signature /						
Date November 2002		2			i	
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to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 21, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the application of:

Baofa YU

Serial No.:

09/765,060

Filing Date:

January 17, 2001

For:

COMBINATIONS AND METHODS

FOR TREATING NEOPLASMS

Examiner: K. Canella

DEC 0 4 2002

Group Art Unit: 1642

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents, numbers 1-3, 6, 10, 14-15, and 113, listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application. This Information Disclosure Statement is submitted:

Disclosure Statement
With the anni:
application.
Within the
anniantee months of the anniant
Action on the marie
Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirement. After receipt of a first Office
Allowance at octore mailing of a
A fee is a service.
A fee is required. A check in the amount of * is enclosed. A fee is required. Accordingly a Fee To
A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached A Certification under 27.
to this submission in duplicate.
A Certification (* 10/3B/1/) is attached
ocidification under 37 C.F.R. S. 1.07
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After mailing of a final Office Action or Notice of Allowance, but before payment of the A Certification under 27.0.
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amount of * is enclosed.
A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed. A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate
Form (PTO/SB/17 is offer to 1.5 /(e) is provided below and to
and a Fee Transmittal
form (PTO/SB/17 is attached to this submission in duplicate.
Applicants would appreciate the Examiner initialing and returning the Examiner that the information has been supported to this submission in duplicate.
indicating that the information has be

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>494492000100</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 2002

Respectfully submitted,

Peng Chen

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